

# V. Annual Plan for Fiscal Year 2024

	Project Type	Potential Audit Focus Description	Est. Hours
1	Grant Management Audit	Assess the adequacy and effectiveness of governance, risk management, and oversight processes in ensuring the efficient and effective administration of grants.	400
2	Customer Relationship Management System Implementation Audit	Assess the project management methodologies and practices to ensure the project's alignment with recognized standards and industry-leading practices.	500
3	Advising Audit	Evaluate the academic and career advising practices to ensure consistent student support levels.	500
4	Alamo Promise Audit	Audit of student eligibility, management of funds, and compliance with provisions of the Alamo Promise program.	550
5	Third-Party Vendor Audit	Assess the extent of third-party access to students' and employees' personally identifiable information.	500



# Annual Plan for Fiscal Year 2024 (Cont.)

	Project Type	Potential Audit Focus Description	Est. Hours
6	Employee Benefits Audit	Assess adherence to rules, accuracy, efficiency, and effectiveness of Alamo Colleges' benefits programs.	450
7	Cloud Usage & Security Audit	Assess the controls associated with the security of cloud-based technologies and evaluate third-party monitoring controls, ensuring alignment with TXRAMP.	500
8	Investigations / Requests	Perform and assist with investigations and special requests.	700
9	Internal Quality Assessment Review	Perform and report on Internal Audit Quality Assurance Improvement Program (QAIP) Self-Assessment.	200
10	Periodic Monitoring Program	Establish a formal data analytics program using ACL Analytics that focuses on mitigating fraud risks.	500
11	Follow-up Reviews	Follow-up on completion of audit action plans.	100
12	Carryforward	For completion of audits still outstanding from the FY 2023 Audit Plan	700
	Total		5,600



# Approved Alternate and Future Projects

Project Type	Preliminary Audit Focus Description
Emergency Alert System Audit	Review the design, efficiency, and effectiveness of Alamo Colleges' emergency communication capabilities, focusing on the distribution of vital information during crisis situations.
Incident Response Plan	Assess how roles and responsibilities are defined to deal with cyber incidents. Test whether the individuals in question are fully aware of their duties.
Budgeting Audit	Evaluate Alamo Colleges' annual budget design and processes used for planning and forecasting.
HR – Banner Employee Position Control Audit	Evaluate the position control processes and ensure controls are operating as management intends.
Facilities Management - Preventive Maintenance Program Audit	Determine whether controls ensure resources are utilized appropriately and effectively and assess the adequacy of preventative maintenance processes over facilities maintenance, including scheduled maintenance and unscheduled repairs.

