

SAN ANTONIO
COLLEGE

ETHICS GUIDE

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Students First
Respect For All
Community-Engaged
Collaboration
Can-Do Spirit
Data-Informed



ALAMO
COLLEGES
DISTRICT

NORTHEAST LAKEVIEW COLLEGE

NORTHWEST VISTA COLLEGE

PALO ALTO COLLEGE

ST. PHILIP'S COLLEGE

SAN ANTONIO COLLEGE

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Message from the Chancellor

Dear Colleague:

The Alamo Colleges' vision and mission are focused on the success of the students and the communities that we serve. Our Shared Values are the guiding principles and actions that embody our desired culture, and our Code of Conduct provides guidelines for upholding these values in the workplace.

This handbook includes our Vision, Mission, Shared Values, Code of Conduct, and other resources to empower us to make decisions that will benefit our students, enhance our communities, and fulfill our responsibilities as stewards of Alamo Colleges' resources.

Sincerely,

Dr. Mike Flores Chancellor

Purpose

This handbook includes our Shared Values, Code of Conduct and other resources to aid in fulfilling our commitment to excellence. It includes specific examples and links to policies and other resources to help empower us to live by the high ethical standards that are essential for fulfilling our Vision and Mission.

Following our Shared Values and the Code of Conduct contained in this handbook is an integral part of our commitment to:

- The Highest Quality Education
- Highly-Qualified Faculty And Staff
- Proper Stewardship Of Resources, Gifts, Grants And Other Forms Of Support
- Personal Accountability

Our actions must meet these standards. We will not condone a practice on the basis that the practice is “customary,” “easy,” “expedient,” or “we’ve always done it that way,” if the practice is not consistent with our Vision, Mission, Shared Values and Code of Conduct.

Mission, Vision, and Shared Values

Vision: The Alamo Colleges will be the best in the nation in Student Success and Performance Excellence.

Mission: Empowering our diverse communities for success.

The shared values are the guiding principles and actions that embody our desired culture:

- Students First
- Respect for All
- Community-Engaged
- Collaboration
- Can-Do Spirit

CODE OF CONDUCT

Our code of conduct helps us, the Alamo Colleges District, uphold our shared values by providing guidance for ethical decision making in the workplace. It is a statement of the ethical standards we use as the basis for our decisions and actions.

Adherence to Law and Alamo Colleges Policies

Employees will follow applicable laws, regulations, professional standards, and Alamo Colleges' policies and code of conduct.

Confidentiality

We will respect individual privacy and the confidentiality of Alamo Colleges' information. Because we are a public institution, much of the business affairs and official acts of public officials and employees of the Alamo Colleges are subject to the Texas Public Information Act, and employees have no expectation of privacy when using Alamo Colleges equipment or systems. However, Alamo Colleges' employees are responsible for maintaining the confidentiality of Alamo Colleges' information, and for using the information only for conducting Alamo Colleges' business in the course of their assigned duties.

We must exercise responsible, ethical behavior when using the Alamo Colleges' computers, networks, or other information resources, including the proper storage, access, control, and disposal of information accessed by or presented to us in any form.

Promptly forward information requests from anyone not employed by the Alamo Colleges to pmeurin@alamo.edu. It is important to forward information requests as soon as they are received in order for the Alamo Colleges to meet possible deadlines related to public information request procedures. Questions regarding the confidentiality of employee or student information should be directed to the District Office of Legal Services.

Resources:

- Public Information Requests
- Chancellor's Clarification

Conflict of Interest

A conflict of interest is a conflict between the personal interests and the professional responsibilities of an Alamo Colleges' employee. A conflict of interest may occur if an individual's judgment is, might be, or can appear to be influenced by personal interests, or if a reasonable person would perceive a conflict of interest.

The first step in managing a potential conflict of interest is to notify your department chair or supervisor that the potential for conflict exists. It is a reality that conflicts may occur, and not all conflicts are bad. If a potential conflict is made known to the supervisor, the situation can be addressed appropriately.

Resources:

- Policy D.2.4 - Nepotism, Conflicts of Interest
- Procedure D.2.4.2 - Conflicts of Interest
- Procedure D.2.4.3 - Conflicts of Interest: Assignments and Outside Activities

Donor and Alumni Relations

It is critical to the Alamo Colleges' continued success that relationships with alumni, donors, and other supporters remain professional and mutually beneficial. This includes using the funds and other assets they provide for the purposes for which they were intended in a timely and fiscally sound manner. Employees must coordinate fund raising activities with the college institutional advancement office or the District Office of Institutional Advancement. The Board of Trustees and the ACCD Foundation have sole authority to accept gifts on behalf of the Alamo Colleges, its colleges, and its programs.

If guidance is needed concerning donors or giving opportunities, contact your college institutional advancement office or the District Institutional Advancement Office.

Resources:

- Procedure C.1.3.1 - Appropriations and Revenue Sources: Donations and Grants from Private Sources

Copyrights and Logos

Copyright is a form of protection provided by the laws of the United States to the authors of “original works of authorship,” including literary, dramatic, musical, artistic, and certain other intellectual works. This protection is available to published and unpublished works.

The Alamo Colleges adheres to the requirements of U.S. copyright law and also abides by licensing restrictions that govern the copying of software. Employees may not use copyrighted material without obtaining written permission prior to duplication/distribution.

The Alamo Community College District name and the names of each Alamo Colleges’ college may not be used without written permission from the Alamo Colleges. No one may use any of the names or logos of the Alamo Colleges or any Alamo Colleges colleges in a manner that endorses any product or service, either explicitly or implicitly, without prior written permission from the Alamo Colleges.

An exception to the exclusive rights enjoyed by copyright owners is the doctrine of fair use. The fair use of a copyrighted work for purposes of teaching, scholarship, or research is not an infringement of copyright.

The following factors are considered in determining fair use:

- The purpose and character of the use, including whether the use is of a commercial nature or is for nonprofit educational purposes.
- The nature of the copyrighted work.
- The amount and importance of the portion used in relation to the whole copyrighted work.
- The effect of the use upon the potential market for or value of the copyrighted work.

If you have any questions about copyright law or the fair use doctrine, or you need guidance about a specific situation, contact the Vice Chancellor for Academic Success or the District Office of Legal Services.

Resources:

- Policy E.1.7 - Instructional Resources: Copyrighted Materials

Employment

We must treat each employee, student, and other members of the community with respect, honesty and civility.

Each employee is expected to work diligently in the performance of his or her assigned duties and to do his or her part in fulfilling the Alamo Colleges' vision and mission. As an employer, the Alamo Colleges will promote a positive work environment that encourages cooperation, collaboration and creativity. Employees must follow Alamo Colleges' policies and state and federal regulations governing employment practices, including Alamo Colleges' policies prohibiting sexual harassment and discrimination.

Secondary Employment

Employees may hold secondary jobs provided the employee continues to meet the performance standards of his or her job and complies with Alamo Colleges' policy.

Resources:

D.1.1 Compliance with Policies and Procedures

Policy H.1.2 - Civil Rights Discrimination, Harassment and Retaliation

Procedure H.1.2.1

Policy H.1.1 - Equal Education and Employment Opportunities

Policy D.2.4 - Nepotism, Conflicts of Interest

Procedure D.2.4.3 - Conflict of Interest: Assignments and Outside Activities

Ensuring Proper Stewardship

We have a responsibility to make sure that Alamo Colleges' resources are used for the purposes for which these resources have been procured.

All Alamo Colleges' revenue and expenditures must be recorded and accounted for within the Alamo Colleges' financial system. All Alamo Colleges' employees who manage financial resources or are involved in financial transactions must be familiar with the Alamo Colleges' accounting structure and the policies and procedures that govern these transactions.

Employees must record all financial transactions accurately and in a timely manner, leading to a clear identification of the true business nature of each transaction, and must make and approve payments on behalf of the Alamo Colleges only for the purpose described in the supporting documentation.

Only Finance and Fiscal Services officials authorized for bank account establishment may establish bank accounts in the name of, or for the benefit of, the Alamo Colleges.

Resources:

Policy C.1.1 - Financial Ethics and Accountability



Management of Financial Resources

Each budget manager, along with his or her supervisor, is responsible for financial resource management in compliance with Alamo Colleges' policies and procedures, good business practices, and funding restrictions.

The budget manager and supervisor must determine the appropriate balance between internal control and operating efficiency, subject to Alamo Colleges' policies and procedures. Part of this determination involves the delegation of signature authority. While signature authority may in some cases be delegated, budgetary responsibility remains a function of the budget manager.

The budget manager and supervisor must ensure that adequate segregation of duties exists.

For example, one employee entering payroll data into the payroll system, approving the data entered and receiving the payroll report, earning statements and/or payroll checks provides an opportunity for inappropriate transactions.

Another example is a purchasing process where one employee orders goods, approves the order, and receives the goods.

Alamo Colleges' employees with responsibility for financial management must:

- Review monthly reports of account activity to determine that all transactions are accurate and complete, including supporting documentation that substantiates all transactions
- Verify that all entries made to each account are appropriate, comply with Alamo Colleges' policy, and are allowed based on the restrictions (if any) of the funding source; and
- Take corrective action to resolve errors and inappropriate transactions posted to the account

Alamo Colleges' employees and trustees shall not take any action to fraudulently influence, coerce, manipulate, or mislead an auditor engaged in the performance of an audit and shall cooperate fully in a timely manner with internal and external auditors.

Protecting Alamo Colleges Assets

We must protect the property, equipment, and other assets of the Alamo Colleges, including, but not limited to, locking doors and cabinets and reporting patterns of unusual behavior.

Misuse of assets takes many forms and can involve deception or misrepresentation of facts for personal gain, as well as taking property or funds for personal use. Examples include, but are not limited to: falsification of timekeeping records or expense reports; theft of cash or property; unauthorized or personal use of Alamo Colleges' facilities, vehicles, equipment, supplies, or employee time; and conducting personal activities during work hours. Other examples include unauthorized use of any of the Alamo Colleges' names and logos and inappropriate use of Alamo Colleges' procurement or discounts to the advantage of individuals or non-Alamo Colleges entities.

Supervisors must provide the necessary supervision and control to include the use, inventory and disposal of Alamo Colleges' property according to Alamo Colleges' policy.

Resources:

- Policy C.2.7 - Equipment/Property Management
- Procedure C.2.7.1 - Use of College District Equipment, Supplies and Personnel
- C.2.7.3 - Disposal of Alamo Colleges' Property

Travel Regulations

The Alamo Colleges reimburses employees and board members for necessary, appropriately approved travel-related expenses. Employees must obtain advance approval and verify that planned travel is eligible for reimbursement before making arrangements. Be sure to plan travel well in advance and use the most economical means of transportation and other goods and services related to travel as good stewards of the ACD resources.

Resources:

- Visit AlamoShare → District Support Office → Finance and Fiscal Services → Accounts Payable → Travel

Purchasing and Vendor Relations

Employees throughout the Alamo Colleges have been given authority and responsibility for selected aspects of purchasing and contracting processes. This approach requires that employees involved at all stages of the purchasing and contracting process take responsibility for understanding and implementing Alamo Colleges' policies and procedures regarding purchasing, contracting and vendor relations. Unauthorized transactions are the personal financial responsibility of the individual committing the funds.

Employees may not ask for, or accept, from any current or prospective vendor, supplier, or contractor, any cash or negotiable instrument. If the employee is involved in decisions regarding vendor, supplier, or contractor selection or proposals, they also may not accept, from any current or prospective vendor, supplier, or contractor, any benefit of more than nominal value (less than \$50). Even items of nominal value must not be given in exchange for preferential treatment of the donor, and such gifts must not create either actual or perceived conflict of interest.

Examples might include: non-cash, non-negotiable token gifts with a value of less than \$50 such as baseball caps, key rings, and coffee mugs.

If you have a question about accepting an item from a vendor, supplier, or contractor, contact the District Ethics and Compliance Office or District Office of Legal Services.

Departments may not obtain credit cards or establish charge accounts in the name of the Alamo Colleges or any of its component parts.

Special rules apply for employee contact with potential vendors and contractors during the bidding/proposal and selection process. For assistance with purchasing goods and services, contact the Purchasing and Contract Administration department.

Resources:

Policy C.1.5 - Purchasing and Acquisitions

Procedure C.2.3.4 - Construction Management

Using Computer and Technology Resources

The Alamo Colleges provides computer and technology resources to support the work of employees and students. Employees must follow Alamo Colleges' policies and procedures for responsible use of these resources, which include but are not limited to items such as the Alamo Colleges' network, local area networks, computers and workstations, terminals, mobile computer systems, Internet, email and other communication services, and other technology resources.

Authorized persons may use these resources for purposes related to instruction, coursework, research, and administration directly related to the mission of the Alamo Colleges. These resources may not be used for personal, private commercial, or any other non-Alamo Colleges purpose, including but not limited to unauthorized use of software, intent to breach security, using listservs for non-Alamo Colleges purposes, sending chain letters, or the introduction of computer viruses. Access to or sending of pornographic or sexually explicit images or text unrelated to legitimate Alamo Colleges' teaching, research, or administrative responsibility is prohibited.

We must protect assigned access codes, passwords, and other authentication data, and never reveal our password(s) to another person, including any other member of the Alamo Colleges' community.

Resources:

- Policy C.1.9 Appropriate Use of Information Technology Resources
- Chancellor's Directive 07- 01 – Appropriate Use of ACD Resources

APPENDICES

Appendix A: Procedures for Reporting a Violation of the Code of Conduct

Members of the Alamo Colleges' community should report suspected violations of the Code of Conduct by doing the following:

1. Discuss the suspected violation with your immediate supervisor, except when the supervisor is involved. If the immediate supervisor is involved, talk to the next level supervisor. The supervisor (or next level supervisor) will advise you as to the appropriate action to take depending on the nature of the suspected violation.

Other resources include Employee Services, the District Ethics and Compliance Office, and the District Office of Legal Services. When Alamo Colleges' policy or procedure provides administrative channels for reporting or resolution, use these channels provided by our policies and procedures.

2. You may use the Ethics Hot line system for cases in which regular channels do not exist, such as fraud, conflict of interest, or other misconduct, or if you wish to remain anonymous (you may provide contact information if you choose to do so). Anonymity and confidentiality will be protected within the limits of the law.

The hot line system consists of a toll-free telephone number and Internet reporting site. More information regarding the hot line system is available on the Alamo Colleges' Ethics website. Although employment-related matters such as discrimination may be reported through the hot line system, it is not meant to be a substitute for employment-related grievances or appeals. For these matters, contact Human Resources for assistance and follow the grievance or appeal process provided by Alamo Colleges' policies and procedures.

3. If the situation involves an immediate danger to people or property, call 911 and the Alamo Colleges Police Department (485-0911).

Retaliation

The Alamo Colleges is committed to the proper resolution of reported matters, and to the protection of both the accused and the accuser in the reporting of suspected violations of law, regulations, professional standards, or Alamo Colleges' policies or Code of Conduct. The identity of individuals who, in good faith, report suspected violations will be protected within the limits of the law. Employees who engage in retaliatory actions will be subject to disciplinary measures, up to and including termination of employment. Report suspected retaliatory actions to Human Resources

Resources

Ethics Hot line Resources:

Toll-free telephone number - 844-302-0425

Internet reporting site - www.alamo.edu.ethicspoint.com.

Alamo Colleges Ethics Website

For concerns about conditions of work, compensation, and employment-related matters:

Policy D.3.3 Employee Complaints

Procedure D.3.3.1- Employee Complaints

For complaints about harassment:

Policy H.1.2 - Civil Rights Discrimination, Harassment and Retaliation

Procedure H.1.2.1

Resources:

Policy D.3.2 - Protection from Retaliation

Procedure D.3.2.1 - College District Protection from Retaliation

Procedure D.3.2.2 - Statutory Whistle blower Protection

Chancellor's Directive 07-02

Appendix B: Responsibilities

We all have a part to play in ensuring that the Alamo Colleges District is a safe and ethical environment for employees. The following outlines the responsibilities of individuals at each level within the Alamo Colleges to uphold the Code of Conduct.

Board of Trustees

- Establish policies that govern ethical behavior on the part of all members of the Alamo Colleges' community.

Chancellor

- Provide the vision and leadership for the support of the Ethics and Compliance Program.
- Put in place a process that creates a culture and climate of personal responsibility and accountability.

District Ethics and Compliance Officer

- Review reports of alleged violations and investigate, or refer them, as appropriate, for investigation, to determine if a violation has occurred and which actions are warranted.

District General Counsel

- Provide advice and research on matters relating to laws applicable to Alamo Colleges.

College Presidents

- Ensure that all members of the college community are aware of the need for complete compliance with the Code of Conduct.
- Report suspected violations through appropriate administrative channels and to protect both the alleged violator and the individual reporting the suspected violation.

Department Chairs, Administrators, Directors, Managers and Supervisors

- Encourage and support efforts by employees to perform duties and responsibilities at the highest standards.
- Ensure that supervisors are promoting excellence in ethical practices through periodic training and daily reinforcement.
- Report suspected violations through appropriate administrative channels and to protect both the alleged violator and the individual reporting the suspected violation.

Faculty, Staff and Students

- Conduct all Alamo Colleges activities in accordance with the Code of Conduct.
- Report suspected violations through appropriate administrative channels and to protect both the alleged violator and the individual reporting the suspected violation.



ACKNOWLEDGMENT

I have read and/or received a copy of the Alamo Colleges' Ethics Handbook and I understand that each Alamo Colleges' employee, agent, consultant, contract worker, trustee, and volunteer is responsible for understanding and following all applicable laws, regulations, professional standards and Alamo Colleges' policies and Code of Conduct.



Scan Acknowledgment

Please scan the QR code and fill out the brief form.

This will allow you to complete your acknowledgment for receiving and understanding the Alamo Colleges Ethics Guide